

AssetGrade, LLC Brochure Supplement

# Brochure Supplement

June 06, 2018

**Susan L. Powers**



This Brochure Supplement provides information about Susan L. Powers that supplements the Disclosure Brochure of AssetGrade, LLC (hereinafter “AssetGrade”), a copy of which you should have received. Please contact AssetGrade’s Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Susan L. Powers is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**AssetGrade, LLC, a Registered Investment Adviser**

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[www.assetgrade.com](http://www.assetgrade.com)

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## Item 2. Educational Background and Business Experience

Born 1961

### Post-Secondary Education

West Texas A&M University | Master of Science, Finance & Economics | 1996

West Texas State University | B.B.A., Accounting | 1984

### Recent Business Background

AssetGrade, LLC | Partner and Chief Compliance Officer | August 2015 – Present

Fidelity Investments | Senior Vice President | March 1998 – August 2015

### Professional Designations

Susan L. Powers holds the professional designations of Chartered Financial Analyst (“CFA®”), CERTIFIED FINANCIAL PLANNER™ (“CFP®”) and Certified Public Accountant (CPA).

The CFA® charter is a credential awarded by the CFA® Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA® Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA® Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the “CFP Board”) to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor’s degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

Susan L. Powers is a Certified Public Accountant (CPA) licensed in the State of Texas. Currently, she does not practice as a CPA and does not provide accounting services to any of AssetGrade’s advisory clients. As such, there is no conflict of interest under the circumstances.

For additional information about this credential, please refer directly to the website of the issuing organization.

### **Item 3. Disciplinary Information**

AssetGrade is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Susan L. Powers. AssetGrade has no information to disclose in relation to this Item.

### **Item 4. Other Business Activities**

Susan L. Powers is a Board Member in Greene County Chamber of Commerce. From time to time, she may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Assetgrade, LLC always acts in the best interest of the client and clients always have the right to decide whether or not to utilize the services of any representative of Assetgrade, LLC in such individual's outside capacities.

### **Item 5. Additional Compensation**

AssetGrade is required to disclose information regarding any arrangement under which Susan L. Powers receives an economic benefit from someone other than a client for providing investment advisory services. AssetGrade has no information to disclose in relation to this Item.

### **Item 6. Supervision**

Susan L. Powers is the Chief Compliance Officer and Partner of AssetGrade and is generally responsible for her own supervision. Susan L. Powers seeks to ensure that investments are suitable for her individual clients and consistent with their individual needs, goals, objectives and risk tolerance, as well as any restrictions requested by AssetGrade's clients.

### **Item 7. Requirements for State Registered Advisers**

AssetGrade is required to disclose information regarding Susan L. Powers's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. AssetGrade has no information to disclose in relation to this Item.